

**Recognition of  
Linear-Slender Context-Free Languages  
by Real Time One-Way Cellular Automata**

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# Introduction

## Objective

To better understand the algorithmic capacity of cellular automata

We will show how one of the simplest types of cellular automata can simulate a restricted variant of context free languages.

# Context-free language

## Basic notions

### Context-free language (CFL)

$$\{a^n b^{n+m} a^m : n \geq 0, m \geq 0\}$$

$$S \rightarrow XY$$

$$X \rightarrow aXb \mid \epsilon$$

$$Y \rightarrow bXa \mid \epsilon$$

### Linear CFL

$$L_0 = \{a^u w b^u : u > 0, w = \epsilon \text{ or } w \in b\{a, b\}^* a\}$$

$$S \rightarrow aSb \mid abTab \mid ab$$

$$T \rightarrow Ta \mid Tb \mid \epsilon$$

# Poly-slender and linear-slender CFL

*The counting function* of a language  $L$

$\#_n(L)$ : the number of words in  $L$  of length  $n$

A language  $L$  is

- *k-poly-slender* if  $\#_n(L)$  is in  $\mathcal{O}(n^k)$ .
- *linear-slender* if  $\#_n(L)$  is in  $\mathcal{O}(n)$ .

## Examples

$\{a^n b^{n+m} a^m : n \geq 0, m \geq 0\}$  is a linear-slender CFL

$$\#_n(L) = \begin{cases} 0 & \text{if } n \text{ is odd} \\ n/2 - 1 & \text{if } n \text{ is even} \end{cases}$$

$L_0 = \{a^u w b^u : u > 0, w = \epsilon \text{ or } w \in b\{a, b\}^* a\}$  is not a poly-slender CFL

$$\#_n(L_0) \sim 2^n$$

$\{a^{n_1} b^{n_2} a^{n_3} b^{n_4} a^{n_5} : n_1 + n_3 + n_5 = n_2 + n_4\}$  is a 3-poly-slender CFL

$$\#_n(L) \sim n^3$$

# Poly-slender and linear-slender CFL

## Characterization in terms of Dyck Loops

### $k$ -Dyck Loop (Ilie, Rozenberg and Salomaa)

Given

- a Dyck word on  $\{[, ]\}$ :  $z_1 z_2 \cdots z_{2k}$
- some words  $y_0, y_1, \dots, y_{2k}$  and  $x_1, \dots, x_{2k}$
- a map

$$h_{n_1, \dots, n_k}(y_0 z_1 y_1 z_2 y_2 \cdots z_{2k} y_{2k}) = y_0 x_1^{e_1} y_1 x_2^{e_2} y_2 \cdots x_{2k}^{e_{2k}} y_{2k}$$

where, if  $z_l$  and  $z_r$  are the  $i$ -th matching parenthesis then both exponents  $e_l$  and  $e_r$  are  $n_i$ .

A  $k$ -Dyck loop is

$$\{h_{n_1, \dots, n_k}(y_0 z_1 y_1 z_2 y_2 \cdots y_{2k-1} z_{2k} y_{2k}) : n_i \geq 0\}$$

# Poly-slender and linear-slender CFL

## Characterization in terms of Dyck Loops

### Examples

$\{a^{n_1} b^{n_1+n_2} a^{n_2} : n_1, n_2 \geq 0\}$  is a 2-Dyck loop with Dyck word  $[]$ ,  
 $y_0 = \dots = y_4 = \varepsilon$  and  $x_1 = x_4 = a, x_2 = x_3 = b$

$\{a^{n_1} b^{n_1+n_2} a^{n_2+n_3} b^{n_3+n_4} a^{n_4} : n_i \geq 0\}$  is a 4-Dyck loop with Dyck word  $[][][]$ ,  $y_i = \varepsilon$  and  $x_1 = x_4 = x_5 = x_8 = a, x_2 = x_3 = x_6 = x_7 = b$

$\{a^{n_1+n_2} b^{n_2} a^{n_3} b^{n_1+n_3+n_4} a^{n_4} : n_i \geq 0\}$  is a 4-Dyck loop with Dyck word  $[][][]$

### Theorem (Ilie, Rozenberg and Salomaa)

For any  $k \geq 0$ , a CFL is  $k$ -poly-slender if and only if it is a finite union of  $(k + 1)$ -Dyck loops.

# Real time OCA

A real time OCA is specified by:

- an input alphabet  $\Sigma$



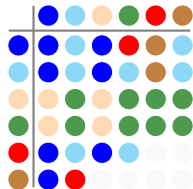
- a finite set of states  $S$  ( $S \supset \Sigma$ )



- a subset of accepting states  $F$






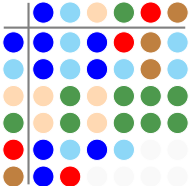
- a transition function  $\delta : S \times S \rightarrow S$



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




































						
						
						
						
						
						
						



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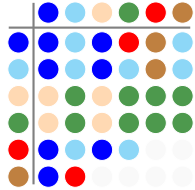
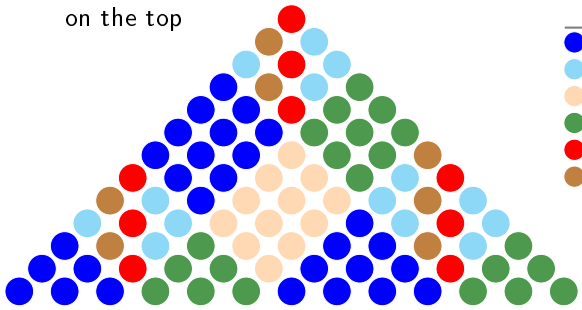


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Result of the computation on the top

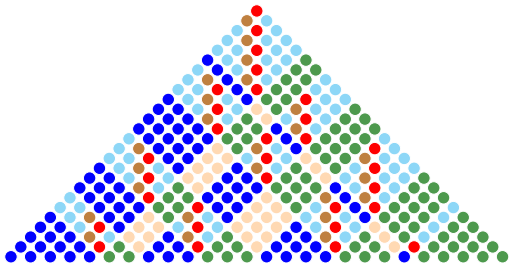


# Real time OCA

## Language recognition

### The language accepted by a real time OCA

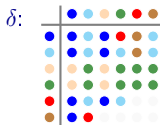
The set of all words whose computation ends in an accepting state



$\Sigma$ : ● ●

$S$ : ● ● ● ● ● ●

$F$ : ●



accepts

$$L_0 = \{a^u w b^u : u > 0, \\ w = \epsilon \text{ or } w \in b\{a, b\}^* a\}$$

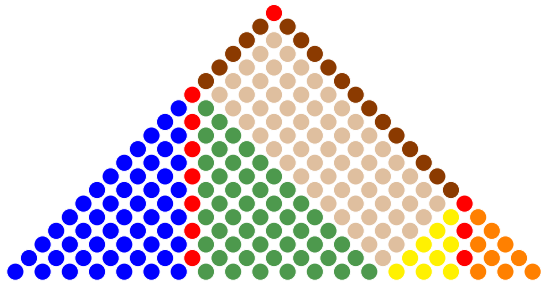
### A main feature

The computation of a word contains the computation of all its factors.

# Real time OCA

Recognition of

$$\{a^n b^n c^m d^m : n \geq 0, m \geq 0\} = \{a^n b^n (c + d)^+ : n \geq 0\} \cap \{(a + b)^+ c^m d^m : m \geq 0\}$$



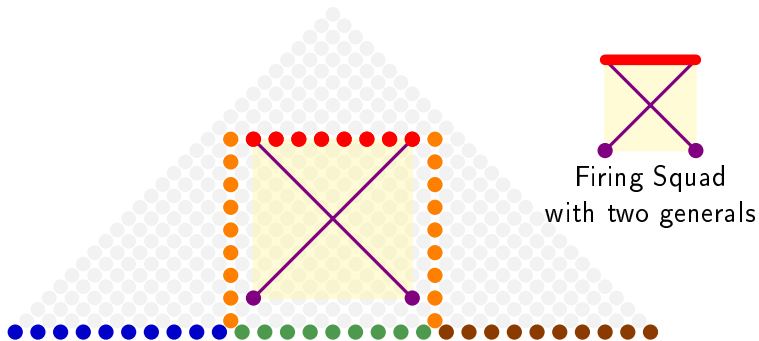
# The Čulík algorithm

Recognition of  $\{a^n b^{n+m} d^m : n \geq 0, m \geq 0\}$



# The Čulík algorithm

Recognition of  $\{a^n b^{n+m} d^m : n \geq 0, m \geq 0\}$



# Real time OCA

## A robust class

The real time OCA class

- is closed under boolean operations
- is not closed under morphism, concatenation
- contains all linear CFL

Characterization in terms of linear conjunctive grammar

A linear CF grammar broaden with a conjunctive operation &

**Theorem (Okhotin 2004)**

A language  $L$  is recognized in real time by an OCA if and only if  $L$  is generated by a linear conjunctive grammar.



# Relationship between CFL and real time OCA

CFL and real time OCA are incomparable

CFL do not contain real time OCA

$\{a^n b^n c^n : n > 0\}$  is a real time OCA language but not a CFL.

Real time OCA do not contain CFL

$L_0 = \{a^u w b^u : u > 0, w = \varepsilon \text{ or } w \in b\{a, b\}^* a\}$

$L_0$  is a linear CFL and so a real time OCA language.

$L_0 L_0$  is a CFL but not a real time OCA language.

Real time OCA do not contain deterministic CFL (and even  $LL(1)$  languages) (Okhotin 2014)

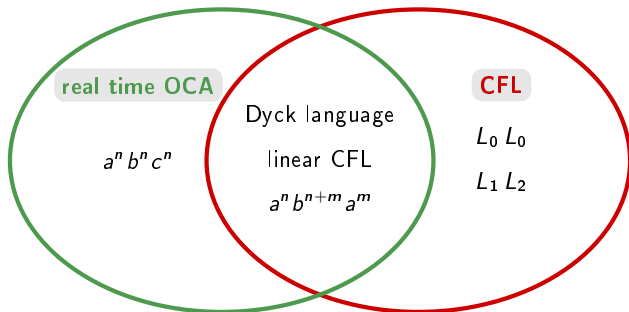
$L_1 = \{c^m b a^{l_1} b \cdots a^{l_{m-1}} b : m > 0, l_i \geq 0\}$

$L_2 = \{a^n b w d^n : n > 0, w \in \{a, b\}^*\}$

$L_1$  and  $L_2$  are linear CFL and so real time OCA languages.

$L_1 L_2$  is a deterministic CFL (and even a  $LL(1)$  language) but not a real time OCA language.

## Relationship between CFL and real time OCA



### Question

What languages do they have in common?

## Poly-slender CFL and rt OCA language

### Conjecture

The poly-slender CFL are real time OCA languages.

Here we only prove the minimal statement:

### Claim

The linear-slender CFL are real time OCA languages.

Recall that the linear-slender CFL correspond to the finite unions of 2-Dyck loops.

⇒ We have to show that the 2-Dyck loops are recognizable by real time OCA.

# Poly-slender CFL and rt OCA language

The real question: the closure under concatenation

## Theorem (Ginsburg and Spanier)

The family of poly-slender CFL is the smallest family which contains all finite languages and is closed under the following operations:

1. union
2. catenation
3.  $(x, y)^*L = \bigcup_{n \geq 0} x^n Ly^n$  for any  $x, y$  words

The real time OCA languages

- include all finite languages
- are closed under union and  $\star$  operation
- are not closed under concatenation

But, the known witnesses for non-closure under concatenation are not languages inside the family of poly-slender CFL.

## 2-Dyck loops are real time OCA

### Shapes of 2-Dyck loops

Case 1. The underlying Dyck word is  $[\ ]$

$$\{y_0 x_1^{n_1} y_1 x_2^{n_2} y_2 x_3^{n_2} y_3 x_4^{n_1} y_4 : n_1, n_2 \geq 0\}$$

Case 2. The underlying Dyck word is  $[\ ]$

$$\{y_0 x_1^{n_1} y_1 x_2^{n_1} y_2 x_3^{n_2} y_3 x_4^{n_2} y_4 : n_1, n_2 \geq 0\}$$

In the following, we will deal with simplified version of 2-Dyck loops by setting  $y_0 = \dots = y_4 = \varepsilon$ .

It does not change the essential arguments.

## 2-Dyck loops are real time OCA

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## 2-Dyck loops are real time OCA

Case 1. The underlying Dyck word is  $[\ ]$

The corresponding Dyck loop is  $\{x_1^{n_1} x_2^{n_2} x_3^{n_2} x_4^{n_1} : n_1, n_2 \geq 0\}$ .

It is a linear CFL language and so it is a real time OCA language.

The closure under  $\star$  operation  $((x, y)^\star L = \bigcup_{n \geq 0} x^n L y^n)$  is here involved.

## 2-Dyck loops are real time OCA

Case 2. The underlying Dyck word is  $\square\square$

The corresponding Dyck loop is  $\{x_1^{n_1} x_2^{n_1} x_3^{n_2} x_4^{n_2} : n_1, n_2 \geq 0\}$ .

The closure under concatenation of 1-Dyck loops is now involved.

Case 2.a.  $x_1$  and  $x_2$  have the same primitive root (or  $x_3$  and  $x_4$ )

A degenerated case

$x_1 = z^r, x_2 = z^s$  for some  $z, r, s$

$\{x_1^{n_1} x_2^{n_1} x_3^{n_2} x_4^{n_2} : n_1, n_2 \geq 0\} = \{z^{(r+s)n_1} x_3^{n_2} x_4^{n_2} : n_1, n_2 \geq 0\}$  is a linear CFL

Case 2.b.  $x_2$  and  $x_3$  do not have the same primitive root

A type of marked concatenation

The Dyck loop is the intersection of two linear CFL

$\{x_1^{n_1} x_2^{n_1} x_3^{n_2} x_4^{n_2} : n_1, n_2 \geq 0\} =$   
 $\{x_1^{n_1} x_2^{n_1} x_3^m x_4^p : n_1, m, p \geq 0\} \cap \{x_1^m x_2^p x_3^{n_2} x_4^{n_2} : n_2, m, p \geq 0\}$

Case 2.c.  $x_2$  and  $x_3$  have the same primitive root but not  $x_1, x_2$  and  $x_3, x_4$

The critical case

$\{x_1^{n_1} x_2^{n_1} x_3^{n_2} x_4^{n_2} : n_1, n_2 \geq 0\} = \{x_1^{n_1} z^{r n_1 + s n_2} x_4^{n_2} : n_1, n_2 \geq 0\}$



## 2-Dyck loops are real time OCA

Dyck loops of shape  $\{x_1^{n_1} z^{r n_1 + s n_2} x_4^{n_2} : n_1, n_2 \geq 0\}$  with  $x_1$  and  $z$  having distinct primitive roots as well as  $z$  and  $x_4$

- The Čulík's OCA recognizes in real time  $\{a^{n_1} b^{n_1 + n_2} c^{n_2} : n_1, n_2 \geq 0\}$  with  $a, b, c$  distinct letters
- By way of geometric transformations of the Čulík's OCA, we construct real time OCAs which recognize the slight variants:  $\{a^{n_1} b^{r n_1 + s n_2} c^{n_2} : n_1, n_2 \geq 0\}$
- Making use of Okhotin's equivalence, we translate these OCAs in terms of linear conjunctive grammars
- Let  $h$  be the homomorphism  $h(a) = x_1, h(b) = z, h(c) = x_4$ . Providing that  $h(a)$  and  $h(b)$ , as well as  $h(b)$  and  $h(c)$ , have distinct primitive roots, we can modify the previous linear conjunctive grammars to generate  $h(\{a^{n_1} b^{r n_1 + s n_2} c^{n_2} : n_1, n_2 \geq 0\}) = \{x_1^{n_1} z^{r n_1 + s n_2} x_4^{n_2} : n_1, n_2 \geq 0\}$

# Conclusion

Linear-slender CFL are real time OCA languages

Three ingredients:

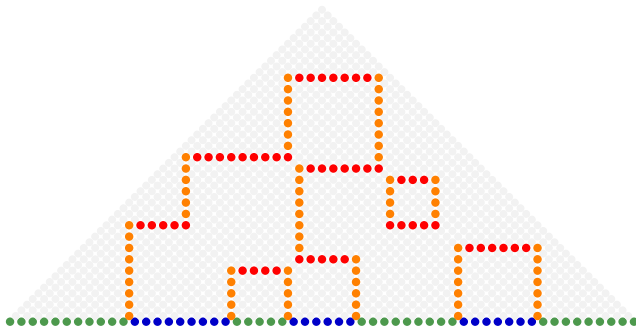
- the characterization of poly-slender CFL in terms of Dyck loops given by Ilie, Rozenberg and Salomaa
- the Čulík's OCA which recognizes in real time the language  $\{a^n b^{n+m} a^m : n, m \geq 0\}$
- the Okhotin's characterization of real time OCA by linear conjunctive grammars

# Conclusion

Question: Are poly-slender CFL real time OCA languages?

A preliminary step:

How to extend the Čulík's algorithm for the bounded versions of the language of words whose the number of *a*'s equals the number of *b*'s?



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